

## U.S. DEPARTMENT OF COMMERCE PATENT &amp; TRADEMARK OFFICE

<b>Transmittal Letter to the United States , Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 USC 371</b>		Attorney's Docket Number RYAN3004/REF
		U.S. Application Number 09/926,599
International Application Number PCT/GB00/02076	International Filing Date May 30, 2000	Priority Date Claimed May 27, 1999
Title of Invention AN ANTIMICROBIAL COMPOSITION		
Applicant(s) for DO/EO/US RYAN et al.		

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items under 35 USC 371:

1. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 USC 371.
2. ☒ An oath or declaration of the inventor(s) (35 USC 371(c)(4)). (☒ Executed ☐ Unexecuted)
3. ☒ A copy of Form PCT/DO/EO/905 dated: February 22, 2002.
4. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
5. ☐ An assignment document for recording.  
A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
6. ☐ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
7. ☐ A substitute specification.
8. ☐ A change of power of attorney and/or address letter.
9. ☒ Small Entity has been asserted by Applicants.
10. ☐ A Request for Refund.
11. ☒ Surcharge in the amount of \$65.00 was paid previously on January 31, 2002.
12. ☐ Assignment recordation fee of \$0.00.
13. ☐ Processing fee of \$ for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

A check in the amount of \$ 0.00 to cover the fees is enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to **Deposit Account Number 02-0200**. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

BACON & THOMAS, PLLC

By: Richard E. Fichter  
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Date: March 19, 2002



## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PC1  
United States Patent and Trademark Office  
Washington, D.C. 20231  
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/926,599	Ryan	RYAN3004/REF

INTERNATIONAL APPLICATION NO.	
PCT/GB00/02076	
I.A. FILING DATE	PRIORITY DATE
05/30/2000	05/27/1999

23364  
BACON & THOMAS, PLLC  
625 SLATERS LANE  
FOURTH FLOOR  
ALEXANDRIA, VA 22314

*RYAN3004*  
Date 2-27-02 Atty REF  
Action Due Beap  
Deadline 3-22-02  
Final Deadline 7-10-02

CONFIRMATION NO. 6460  
371 FORMALITIES LETTER

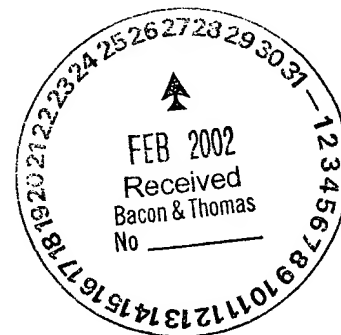
\*OC00000007499878\*

Date Mailed: 02/22/2002

## NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fee
- Indication of Small Entity Status
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Oath or Declaration
- Preliminary Amendments
- Request for Immediate Examination
- Small Entity Statement



The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - does not identify the citizenship of each inventor.

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

JOHN L ANDERSON

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**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/926,599	PCT/GB00/02076	RYAN3004/REF

FORM PCT/DO/EO/916 (371 Formalities Notice)